Local Records Retention Schedules

Missouri Revised Statutes Chapter 109 (Public and Business Records) Section 255 authorizes the Local Records Board to establish minimum retention periods for the administrative, fiscal and legal records created by local governments.

Retention and disposition of records that are common to many offices are included in the General Schedule. Records unique to particular offices are addressed in individual office schedules.

August 2022

Update Series Title 1602

Removed 0205, 0207, 0208, 0215, and 0217 to General Schedule

Municipal Records Retention Schedule

See also the General Records Retention Schedule, Ambulance District Records Retention Schedule, Fire District Records Retention Schedule, Hospital and Health District Records Retention Schedule, Library Records Retention Schedule; Police Clerk Records Retention Schedule, County Clerk-Election Authority Records Retention Schedule.

Using this Records Retention Schedule

Every day local government offices throughout Missouri produce records that document the rights of citizens, the actions of the government that serves them and the history of the community in which they live. It is the responsibility of local government to effectively maintain and manage these records and to ensure the continued preservation of those records of essential evidence that have enduring and permanent value. The introduction to this retention schedule provides local government officials with basic information on records and the application of retention schedules.

What is a Record?

A "record" is defined as any "document, book, paper, photograph, map, sound recording or other material, regardless of physical form or characteristics, made or received pursuant to law or in connection with the transaction of official business" (109.210(5) RSMo). This definition includes those records created, used and maintained in electronic form.

Non-Records

Even though records include a broad spectrum of recorded information, not all recorded information is a record. According to Section 109.210(5) RSMo, the following are not records: "...Library and museum material made or acquired and preserved solely for reference or exhibition purposes, extra copies of documents preserved only for convenience of reference, and stocks of publications and of processed documents are not included within the definition of records..."

Other examples of non-records include the following materials:

- Identical copies of documents maintained in the same file.
- Extra copies of printed or processed materials (official copies of which are retained by the office of record).
- Superseded manuals and other directives (maintained outside the office of record).
- Materials documenting employee fringe activities (blood donors, charitable funds, social and professional meetings, etc.)
- Work papers and drafts of reports or correspondence. Transcribed stenographic materials.
- Blank forms.
- Materials received from other activities that require no action (official copies of which are retained by the
 office of record).
- Catalogs, trade journals and other publications or papers received from government agencies, commercial firms or private institutions that require no action and are not part of an action case record.
- Survey Forms.

Non-records do not require retention scheduling or destruction authorization or reporting. To control excessive accumulation, it is necessary to keep only current, useful materials and to destroy non-records immediately after needs have been satisfied. Avoid filing non-record material with records.

The Value of Local Government Records

Some records, because of their enduring administrative, fiscal, legal or historical value, should be permanently retained. These records require that special care and consideration be given to their storage conditions and the feasibility of preservation microfilming. Examples of permanent records include year-end reports; minutes; property records such as deeds; and birth, death and marriage records.

Most records do not have values that warrant their permanent preservation. Those records with short-term value should, upon reaching end of the retention period, be destroyed.

Statutory Authority for Establishing Records Retention Requirements

In 1965, the Missouri General Assembly established a State Records Commission to approve retentions for records produced by state agencies. In 1972, Missouri's Business and Public Records Law (Chapter 109) was expanded to include local government. Thus, the Missouri Local Records Board was established to set retention times for local government records. The 16-member board, chaired by the Secretary of State, consists of local government officials from all classes of counties and cities, elementary and secondary education, higher education and a person active in historical society groups.

Supplemental to the Local Records Board, the Records Management and Archives Service of the Secretary of State's office provides assistance to local governments and implements board policy.

Application of the Records Retention Schedule

This schedule establishes minimum retention periods and authorizes dispositions for many of the administrative, fiscal and legal records common to most local governments. Retention periods are based upon federal and state mandates, record surveys, business needs, and general knowledge as to how long records should be kept. Using the schedule as a guide and without seeking further approval from the Local Records Board, any local government may regularly dispose of any of its records that appear on this schedule. The schedule is subject to the following exceptions and limitations:

- A. Local government offices may retain any of their records beyond the retention periods set by the schedule, as they deem necessary. The schedule establishes only a minimum period of retention. Before retaining a record longer than the minimum time required, however, the office should be certain that it has good reason to do so. Unnecessary retention of records can be expensive in space and filing equipment and may expose the office to costly litigation and discovery requirements.
- B. This schedule does not relieve local governments of retention requirements mandated by other state and federal statutes and regulations. When such an obligation does exist, then the longer retention period takes precedence.
- C. This schedule generally reflects audit requirements in its prescribed retention periods, but audits are not always completed in a timely fashion. Therefore, any record required for an audit must be retained until completion of that audit, regardless of its stated retention period in the schedule.
- D. This schedule does not authorize destruction of records that could be deemed relevant to current or pending litigation.

Retention and disposition of records that are common to many offices are included in the General Schedule. Records unique to particular offices are addressed in individual office schedules. All schedules are available on the Secretary of State's website at http://www.sos.mo.gov/archives/localrecs/schedules

Destruction of Records

The records classification and retention periods in this manual constitute legal authority for retention and disposal of official records. No records can be destroyed until they meet the minimum retention period listed in this manual. In cases where there is no schedule for a particular record series, the Local Records Board must grant permission for the destruction.

The disposition of records should be recorded in a document such as the minutes of the city council or other legally constituted authority that has permanent record status. The record should include the description and quantity of each record series disposed of, manner of destruction, inclusive dates covered and the date on which destruction was accomplished.

The retention schedule does not prescribe the method of destruction (shredding, burning, landfills, etc.), however, record series with a disposition of *Destroy securely* contain confidential data. These records should be destroyed under the supervision of a competent person(s) designated (or appointed) to ensure that no records fall into unauthorized hands and that the data cannot be reconstructed.

When records, open or confidential, have been destroyed by decay, vermin, fire, water or other means making their remains illegible, the custodian of records may dispose of the remains after verification and documentation by the Local Records Program, Office of the Secretary of State.

Preservation of Permanent Records

A fundamental, yet often neglected obligation of local government is to care for the permanent records it generates and receives regardless of format. The records, both electronic and physical, that have been identified as permanent require special handling and storage if they are to be preserved. The continuous interaction between a physical record's medium: paper, magnetic tape, film, etc. -and the quality of the environment in which it is kept-temperature, humidity, light, and air-determines the severity and rate of its deterioration. For electronic records the concerns include media decay, hardware/software obsolescence, and migration of data into the current standard: PDF-A, TIF, etc.

By imaging permanently valuable records, local governments can generate durable microfilm for preservation and disaster recovery, while also maintaining an electronic version for access. When microfilmed, processed, and maintained to archival specifications, a master negative will ensure that permanently valuable records are preserved for generations to come (microfilm has an estimated shelf life of over 500 years). Microfilm that meets the standards outlined here is eligible to be stored by the Missouri State Archives at no cost.

The Missouri Local Records Grant program can provide financial assistance in the form of grants-in-aid to supplement local funds for preservation initiatives, such as archival supplies, shelving and preservation microfilming.

Reformatting Standards

In accordance with RSMo 109.241.4, the Local Records Board has adopted the following standards for microfilm and digitized records. To be in compliance for image permanence, microfilm must conform to the technical standards outlined in the *Guidelines for Microfilming Public Records*, drafted by the Local Records Program and available on the Secretary of State's website at: http://www.sos.mo.gov/archives/pubs/mfmg. To ensure the permanence of electronic records and digitized records, electronic records management systems must meet the standards outlined in the most current version of ISO 15489. Certification that records have been reformatted in accordance with these standards should be maintained locally and classified under *General Records Retention Schedule* "GS 018 Records Management Records."

Essential Records

Certain records are so important to the continued operations of a government or to the protection of the rights and property of citizens that the records are considered vital. Essential records require special safeguards to ensure that the information and evidence in the records will survive a disaster.

The best method to safeguard essential records is to store a duplicate copy in another location (i.e., bank vault, off-site private storage, or Secretary of State's Office). In many instances, duplicate copies are created as part of the regular operating routine of the office—security copies on computer tapes, microfilm, or paper copies distributed to other offices or agencies. If these copies and the originals are stored in separate buildings, these essential records are adequately protected.

A Note about Retention Periods

This schedule provides minimum retentions. Local authorities may choose to keep a particular series or record for a longer period of time. It should be kept in mind, however, that a record kept beyond its listed retention must be made available for inspection upon request.

The point at which a retention period begins is termed a cutoff, or trigger. Typically this is on a regular cycle—the end of the calendar year, the end of the fiscal year, etc. This is the period of the inactive record. A traditional example of this would be the period when records are boxed and removed from active file cabinets and work areas.

When determining cutoffs, a good rubric is outlined in DoD 5015.02 "Electronic Records Management Software Applications Design Criteria Standard":

A. retention periods of less than 1 Year, the cutoff is equal to the retention period;

- B. retention periods of 1 Year, or more, the cutoff is at the end of the fiscal or calendar year;
- C. for records with a retention period based on an event or action, the cutoff is the date the action is completed:
- D. for records with a retention period based on a specific time period after an event or action, apply the retention period after the action is complete.

Retention Definitions:

COA=Completion of Audit. Note that COA is coupled with a lot of 5-year entries to help encourage regular audits. Not all jurisdictions are required to have audits by statute. Audits for some municipalities are governed by the level of federal financing for bonds and public improvements, and thus are governed by federal retentions. Most municipalities are governed by their local authority (alderman, council, mayor, etc.) for auditing policy. Local jurisdictions may consult RSMo 29 to review the State Auditor's chapter for petition audits (see RSMo 250 for large capital projects such as bonds for water and sewer). For general auditing explanations and advice we recommend that clerks contact the State Auditor's office at 573.751.4213.

DCA=Destroy in Current Area/Reference. Series with these retentions are considered "reference" records and may be destroyed when they are no longer of use.

Modifications and Additions

Because records reflect activities that are constantly changing, the retention requirements for them sometimes require revision as well. Consequently, records retention and disposition schedules often need modification or additions in order to be realistic and effective. Furthermore, because local governments are so large, it is impractical to consult with every office regarding specific schedule entries. There may be some retention periods and disposition requirements within this schedule that fail to account for all relevant factors and there may be some important record series not addressed here which need to be added. The Local Records Program welcomes all comments and suggestions concerned with improvement of record retention schedules through modifications and additions.

For further information on any records management or preservation issue, please contact:

Missouri Secretary of State Local Records Preservation Program PO Box 1747, Jefferson City, MO 65101-1747 Telephone: (573) 751-9047

local.records@sos.mo.gov

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Municipal Records Retention Schedule

See also the General Records Retention Schedule, Ambulance District Records Retention Schedule, Fire District Records Retention Schedule, Hospital and Health District Records Retention Schedule, Library Records Retention Schedule; Police Clerk Records Retention Schedule, County Clerk-Election Authority Records Retention Schedule.

Administrative Records

0001 Accident Report Files

Also Called:

Function: Reports describing accidents involving city employees resulting in personal injury

Content: May include copies of Workers' Compensation claims

Retention: 5 years after case concluded

Disposition: Destroy securely

Note:

Approval Date:

0003 Annexation Records

Also Called:
Function: Records used to annex property into city boundaries

Content: May include correspondence, petitions, maps, surveys, and official annexation action.

Retention: 6 years after recorded in minutes

Disposition: Destroy

Note:

Approval Date:

0008.2 Budget Adjustments

Also Called:

Function: Records documenting the transfer of or supplemental appropriation to departmental

monies during the fiscal year

Content:

Retention: 5 years
Disposition: Destroy

Note:

Approval Date:

Also Called:

0012 City Seal

Function: Records documenting the current and past city seals

Content: Permanent

Disposition: Archive; microfilm for preservation

Note:

Approval Date:

0015.1 Maintenance Guarantee Bonds (Private Development)

Also Called: Function:

Content:

Retention: Term of contract plus term of bond plus 4 years

Disposition: Destroy

Note:

0020

Employee Suggestion Forms

Also Called:

Function:

Content:

Retention: Disposition:

Note: Approval Date: Records for suggestions by employees for programs, awards, or administrative action

Destroy when no longer of administrative value

Destroy

0022 Franchises: Utilities, Cable TV, etc.

Also Called: Function: Records regarding franchises granted by the city to utility companies or other non-public

organizations permitting them to provide services within the city. NOTE: ESSENTIAL

RECORDS: see introduction for further guidance

Content:

Retention:

Disposition:

Note:

Approval Date:

Expiration/cancellation of franchise plus 5 years

Destrov

0024 **Historic Preservation Files**

Also Called: Function: Records relating to historical preservation programs and projects in the city, be it

individual historical structures or a district of the city

Content: May include correspondence, plans, studies, progress reports, and grant records

Retention: Permanent for historical purposes Archive; microfilm for preservation Disposition:

Note:

Approval Date:

0028 Land Trust Property Record

Removed, see General Records Retention Schedule, GS 103 Land Trust Property Records Note:

0029 **Landfill Files**

Also Called: City Dump Records

Function: Records dealing with the conception, implementation, and running of the city landfill Content: May include studies, costs, appraisals, testing, regulations, applications and reports of

local, state, or federal agencies

Retention: Permanent

Archive; microfilm for preservation Disposition:

Note:

Approval Date:

Also Called:

Landfill Files Certificate of Insurance 0029a

Function: Records to verify that a hauler has insurance coverage and indicates the amount of coverage; submitted annually by the company and have an annual expiration date

Content:

Retention: 5 years Disposition: Destroy

Note:

0030 Landfill Fee Records

Also Called: Daily Solid Waste Record; Solid Waste Tonnage Fee Form

Function: Documents the quantity of waste material subject to fees, the dollar amount of fees

collection, the collection costs incurred and the total dollar amount of waste tonnage fees. May include: time shipment received or sent, name of individual or company transporting, type of waste, name and address of disposal area to which waste is transported, payment received

or due.

Retention: 3 Years
Disposition: Destroy

Note: See RSMo 260.330; 10 CSR 80-2.80

Approval Date: August 25, 2015

0039 Petitions

Also Called:

Content:

Function: Petitions signed by citizens requesting action by the city on issues of concern

Content: May include petitions of initiative, referendum, recall, vacation of public rights of way, etc.

Retention: 5 years, retain vacation of public rights of way with ordinances

Disposition: Destroy

Note:

Approval Date:

0043 Proclamations

Also Called:
Function:

All official proclamations issued by the mayor, board, or council

Content:

Retention: 1 year after recorded in official minutes, if not in minutes, retain permanently

Disposition: Destroy those records not permanent, archive and microfilm those that are permanent

Note:

Approval Date:

0048 Index of Files

Also Called:

Function: Index relating to all city files, often organized by major categories of records

Content:

Retention: Permanent Disposition: Archive

Note:

Approval Date:

0054 County Recording (official): Plats, Ordinances, Contracts, etc.

Also Called:
Function: Register of official recording activity with County Recorder and Secretary of State. NOTE:

ESSENTIAL RECORDS - see introduction for further guidance

Content: Permanent

Disposition:

Also Called:

Note:

Approval Date:

0057 Lost and Found

Function: List of articles turned into the office

Archive

Content: Date found, date released or other disposition

Retention: 5 years, including audit

Disposition: Destroy

Note:

Animal Control Records

0101 Animal Bite Records

Also Called:
Function: Records documenting any animal biting incident

Content: May include type of animal inflicting the bite, animal's owner, animal's history, name

and address of patient, date bitten, location of wound, attending physician, treatment

and results of observation of animal for signs of rabies

Retention: 2 years after bite report

Disposition: Destroy securely

Note:

Approval Date:

Also Called:

0102 Animal Control Cards

Function: Records documenting the history of every animal received at the shelter

Content: May include record of capture, identifying information, animal's condition, whether

animal is claimed or unclaimed, and disposition of the case

Retention: 2 years Disposition: Destroy

Note:

Also Called:

Approval Date:

0104 Investigation Logs and Reports

Function: Chronological record of investigations of incidents and cases handled by animal control

officers

Content: May include location of capture, action taken, case number, and by who case was initiated

Retention: 2 years Disposition: Destroy

Note:

Approval Date:

0105 Pet and Animal Licenses or Permits

Also Called: Dog License

Function: Records documenting all licensed animals in the city

Content:

Retention: 5 years after expiration

Disposition: Destroy

Note: Approval Date:

0107 Animal Control Enumeration Records

Also Called:
Function: Periodic count and registration of all animals and pets in the city subject to

immunization and licensing

Content:

Retention: 3 years
Disposition: Destroy

Note:

0108

Ownership Verification Records, Animals

Also Called:

Function:

Content:

Retention: Disposition:

Note:

Approval Date:

Records necessary to reclaim an animal when a summons has been issued

2 years after court date

Destroy

Building Codes Records

0205 **Certificates of Occupancy File**

Removed to General Records Retention Schedule GS 120 Note:

Approval Date: August 24, 2022

0207 **Dangerous Building File**

Note: Removed to General Records Retention Schedule GS 121

Approval Date: August 24, 2022

0208 Monthly Reports of Building Permits Issued File

Removed to General Records Retention Schedule GS 122 Note:

August 24, 2022 Approval Date:

0210 Standardized Building Codes

Also Called: Function: Printed code books containing standard codes used in the enforcement of building

construction by city building inspectors

Content: Retention: Permanently as revised and updated - for administrative, legal, and historical purposes

Disposition: Destroy

Note:

Approval Date:

0211 Street Address Log

Street Information Card; Street Number Locator Book Also Called: Function: Master list of current streets and house numbers May include street number and lot number Content:

Until superseded or no longer needed for reference Retention:

Disposition: Destroy

Note:

Approval Date:

0215 Certificate of Compliance: Building Codes

Removed to General Records Retention Schedule GS 124 Note:

Approval Date: August 24, 2022

0217 **Building Code Inspection and Enforcement File**

Removed to General Records Retention Schedule GS 123 Note:

Approval Date: August 24, 2022

Cemetery Records

0303 **Cemetery Register**

Removed to General Records Retention Schedule GS 109 Note:

Approval Date: August 21, 2018

0304 **Deed Books**

Removed to General Records Retention Schedule GS 110 Note:

August 21, 2018 Approval Date:

0305 **Interment Record Cards**

Removed to General Records Retention Schedule GS 111 Note:

Approval Date: August 21, 2018

Financial and Accounting Records

0704 **Bank Loans Files**

Also Called:

Function: Records documenting outstanding financial obligations incurred by the city in the form of

bank loans

Retention: Until cancellation of loan plus 5 years

Disposition: Destroy

Note:

Content:

Approval Date:

0706 **Bonds and Coupons** Also Called:

Function: Records documenting retired individual bonds and their coupons

Content: Retention: 5 years after cancellation date, plus completion of an outside audit

Destrov Disposition:

Note:

Approval Date:

Also Called:

0706.1 **Bonds and Coupons: City Reconciliation Files**

For registered and non-registered bonds, records of individual issue statements from the Function:

bank, combined with municipal working papers used to reconcile the payment of bonds

to the general ledger

Retention: 10 years after final maturity of bond issue

Disposition: Destroy

Note:

Content:

Approval Date:

Also Called:

Content:

0707

Bonds Issued

Function: Records relating to the financing of municipal projects through bonded indebtedness;

NOTE: ESSENTIAL RECORDS: see Introduction for further guidance

May include proposals, audits, correspondence, signed contracts pertaining to the

project, and the original financial instruments or copies of them including bond transcripts, affidavits of publications, and ordinances authorizing the sale of the bonds

Retention: 10 years after final maturity; Rejected Proposals, 5 years

Disposition: Destroy

Note:

0713

Depreciation Schedules File

Also Called:

Function:

Records that document the useful life of city-owned equipment and property for capital

equipment budgeting and other financial planning and control purposes

Content:

Retention:

Disposition: Note:

Approval Date:

Life of equipment plus 3 years

Destroy

0714a

Financial Disclosure (non campaign)

Also Called:

Function:

Pertains to records created prior to January 1, 1991 when the State Financial Disclosure Law became effective; declaration of financial records of employees who make binding decisions, issue licenses and permits, and have the power to contract or are empowered to adopt rules and regulations

Content:

Retention:

5 years after the person filing the information leaves office

Destroy securely Disposition:

Note:

Approval Date:

0717

Journal Entries, Journal Vouchers

Also Called: Function:

Records of adjustments to financial accounts and created in order to balance the city's

books; NOTE: ESSENTIAL RECORDS: see Introduction for further guidance

Content: May include debits, credits, reason for adjustment, date, and amount

Retention: 5 years

Disposition: Destroy securely

Note:

0718

Approval Date:

Motor Fuel Usage Reports File

Also Called:

Function: Documentation of gasoline, oil, and diesel fuel used by city vehicles

May include logs or other records documenting miles on city motor vehicles, gallons of Content:

fuel used, person taking fuel, department of vehicle, and bills or invoices

Retention: 5 years Disposition: Destroy

Note:

0720

Approval Date:

Street Paving File: Special Assessment Files

Also Called:

Function:

Records used to account for street paving funds, verifying revenue contributions from

citizens

Content:

May include street, property owner's name, amount due, and date of paving

Retention: Destroy

Disposition:

Note:

Approval Date:

5 years after last collection

0730a

Victory Tax Withholding Record

Also Called:

Function:

This was a World War II-era record and is no longer generated

Content:

Note:

Retention:

5 years Destroy

Disposition:

Approval Date:

Obsolete record series

0734a Convention and Tourism Tax Return

Also Called:

Function: Records documenting all tourism tax collected from food establishments and

hotel/motels covered by a municipal convention and tourism ordinance

Content: May include quarterly, monthly, and quarterly-monthly returns showing gross receipts,

gross adjustments, calculated taxable sales and penalties, interest due, amount due, and

amount paid

Retention: 5 years
Disposition: Destroy

Note:

Approval Date:

0742 Ad Valorem Tax Records

Also Called:
Function: Listing of merchants and manufacturers or professional establishments for purposes of

collecting certain taxes; record has been discontinued

Content:

Retention: 6 years
Disposition: Destroy

Note:

Approval Date:

0743 Building Inspection Sheet File

Also Called:
Function: Copies of building permits used for appraisal purposes based on property improvements

Content:

Retention: 5 years Disposition: Destroy

Note:

Approval Date:

0745 Delinquent Tax Report Files

Also Called:
Function: Record showing residents who owe delinquent real estate and personal property taxes to

the city

Content: May include name, legal description of property, amount due, amount paid, penalty,

interest, and Certificate of Redemption

Retention: 6 years except certificate of redemption, which need only be retained 2 years

Disposition: Destroy

Note:

Approval Date:

Also Called:

0746 Earnings Tax Records

Function: Records that document earnings taxes collected from residents and employees who work

within the city limits; collected in certain cities

Content: May include Employees Quarterly Report of Taxes withheld and information such as W-

Retention: 5 years including audit Disposition: Destroy securely

Note:

Approval Date:

0747 Personal Property Tax Records

Also Called:

Function: A record of residents who owe city taxes on personal property
Content: May include name, property, assessed value, and amount owed

Retention: 6 years; evaluate for historical purposes

Disposition: Destroy

Note:

0747.1

Intangible Property Tax

Also Called:

Function:

Records associated with financial institution tax or other types of intangible property taxation.

May include name, taxes levied and payments made.

Content: Retention:

Disposition: Destroy securely

Note:

Approval Date:

Poll/Road Tax

6 years

Also Called:

0747.2

Function:

A list of residents in certain road districts or areas that owe taxes or labor for road

improvements

Content: May include name, address, district, and amount of tax paid or labor done Retention: Permanent (this record is no longer generated and is a historic record) Archive, microfilm for preservation

Disposition:

Note:

0748

Approval Date:

Real Property Transfer Slips

Also Called:

Function:

Records from the assessor and collector relating to the transfer of property from an

individual or company to another individual or company

Content:

Retention: Disposition: 3 years Destroy

Note: Approval Date:

0749

Real Estate Tax Records

Also Called:

Function: Content:

Retention:

Records documenting the collection of real estate taxes from city residents

May include name, property description, valuation, taxes owed, date paid, amount paid, copies of building inspection and permit records which have altered the value of the property or cross-reference notations to such files, and possibly records relating to circuit

breaker provisions for those who qualify 6 years; evaluate for historical purposes

Disposition:

Note:

0750

Approval Date:

Destrov

Special Tax Assessments

Also Called:

Function:

Records pertaining to special tax assessments for road, street, sewer connection services,

etc. where the property owner may be charged for certain improvements of services

Content: Retention:

Disposition:

Note:

Approval Date:

6 years after due date and final payment

Destroy

0752 Tax Anticipation Notes

Also Called:

Function: All records relating to tax anticipation

Content:

Retention: 5 years Disposition: Destroy

Note:

0753 Tax Assessment Files

Also Called:

Function: Records relating to assessments for real estate taxes

Content: May include name, property description, and working papers used to arrive at assessed

valuation

Retention: 6 years including audit

Disposition: Destroy

Note:

Approval Date:

Also Called:

0753a Withholding Coupons, RD 130

Function: Vouchers used in Kansas City when taxpayers remit withholding tax payments

Content: May include name, FID number, account number, tax period, and amount of payment; coupons are later compiled and reconciled on other forms (RD 110 and 113) which are

kept for 5 years

Retention: 1 year
Disposition: Destroy

Note:

Approval Date:

0756 Bonds: Performance/Capital Projects

Also Called: Function:

Content:

Retention: Term of bond plus 10 years

Disposition: Destroy

Note:

Approval Date:

0756.1 Performance Bond (Private Development of Streets, Sidewalks, Sewers,

etc.)

Also Called:

Function:

Content:
Retention: 5 years
Disposition: Destroy

Note:

Approval Date:

0757 Bonds: Maintenance Guarantee (Capital projects)

Also Called:

Function:

Content:

Retention: Term of contract statute of limitations

Disposition: Destroy

Note:

Approval Date:

0758.1 Bond For Lost Checks or Warrants

Also Called:
Function: Documents that support the reissuance of payment to cover lost or stolen city issued

checks or warrants

Content: May include statements by payees that they have not received or have not cashed the

check or warrant in question, as well as a notice to stop check or payment

Retention: 5 years

Disposition: Destroy securely

Note:

0764 Surplus Property

Also Called: Surplus Personal Property; Surplus Real Property

Function: Records pertaining to property owned by the city that has been declared surplus

Content: May include declarations, advertisements, notices, and transfer papers

Retention: 5 years Disposition: Destroy

Note:

0767

Approval Date:

Drivers Listing (Fee Office)

Also Called:

Function:

A daily listing of all transactions pertaining to drivers licenses; this is a copy of the

original sent to the Department of Revenue

Content:
Retention: 2 years
Disposition: Destroy

Note:

Approval Date:

0768 Audit Copies (Fee Office)

Function: Copies of title applications and license renewals; originals are a permanent record in the

Department of Revenue

Content:

Retention: 2 years Disposition: Destroy

Note:

Approval Date:

0769 Rejections (Fee Office)

Function: Notice of correction required from the Department of Revenue concerning an error on an

original transaction

Content:

Also Called:

Retention: 2 years Disposition: Destroy

Note:

Approval Date:

0770 Daily Report Sheet (Fee Office)

Also Called:

Function: Internal planning report combining motor vehicle and drivers information

Content:

Retention: As long as has reference value

Disposition: Destroy

Note:

Approval Date:

0772 Refuse Rebate Application

Function: Requests made by apartment complex owners to obtain reimbursement by the city for

private refuse collections

Content:

Also Called:

Retention: 5 years after application becomes inactive

Disposition: Destroy

Note:

0773 Data Entry Coding

Also Called:
Function: Record of transactions to be entered in computer database files (used for updating files

such as financial accounting maintenance)

Content:

Retention: 5 years after all defects have been corrected

Disposition: Destroy

Note:

Approval Date:

0774 Remittance Advices

Also Called:

Function: Content:

Retention: 5 years Disposition: Destroy

Note: Approval Date:

0801 Food Handler Inspection Records

Also Called:

Function: Content:

Retention: 5 years after approved inspection

Disposition: Destroy

Note:

Approval Date:

Parks and Recreation Records

1001 Maintenance: Parks, Parkways, and Recreation Areas

Also Called:

Function: Content:

Retention: 5 years Disposition: Destroy

Note:

Approval Date:

Forestry and Landscaping Records

1010 Tree and Shrub Planting and Maintenance File

Also Called:
Function: Records describing the planting and maintenance of trees and shrubs on city-owned

property

Content: May include tree and shrub location, type, date of planting, and maintenance

Retention: Permanent as updated or revised

Disposition: Destroy outdated material

Note:

1011 Horticulture/Greenhouse Planting and Maintenance File

Also Called:

Records describing short-lived annuals and perennials planted on city-owned property Function:

Content: May include plant location, type, date of planting, and maintenance

Retention: 3 years Destroy Disposition:

Note:

Approval Date:

Plans and Zoning

1201 **Development (Land) and Applications**

Note: Removed to General Schedule, see GS 112 Development and Zoning Requests--August 25,

2020

1202a Field Notes (Survey)

Also Called: Function: Written records, usually involving legal descriptions, accompanying surveys of property

within the city limits

Content: May include legal description of property, date of survey, and name of surveyor

Retention: Permanent

Disposition: Archive; microfilm for preservation

Note:

Approval Date:

1203 **Rezoning Requests**

Also Called: Application for Zoning Determination

Function: Applications for rezoning

Content: May include surveys, correspondence, petitions, and meeting minutes

Note: Removed to General Schedule, see GS 112 Development and Zoning Requests--August 25,

Revised August 19, 2014 Approval Date:

Variance Requests: Building and Zoning Requirements 1204

Also Called: Function: Applications for variance and supporting documents

May include surveys, correspondence, petitions, and meeting minutes Content:

Removed to General Schedule, see GS 112 Development and Zoning Requests--August 25, Note:

Approval Date: Revised August 19, 2014

1205 Zoning Violations: Written Notice (Citation)

Note: Removed to General Schedule, see GS 113 Zoning Violations--August 25, 2020

1206 **Zoning Notices From County**

Also Called: Written Notice of Public Hearing

Note: Removed to General Schedule, see GS 022 Public Notice Records--August 25, 2020

Public Works Records (Streets, Sewer, Refuse, Etc.)

1301 Engineering Drawings and Blueprint File

Function: As-built engineering drawings and blueprints of all facilities owned or managed by the

city NOTE: ESSENTIAL RECORDS: See Introduction for further guidance

Content: May include maps of streets, highways, water lines, sewage treatment plants, utilities,

and other municipal facilities

Retention: Permanent

Disposition: Archive; microfilm for preservation

Note:

Approval Date:

Also Called:

1302 Laboratory Reports: Sewage, Water, Etc.

Also Called: Bacteriological Reports, Bact-Ts

Function: Results of tests done on waste water and filtered water regulated by the city

Content:

Retention: 5 years Disposition: Destroy

Note:

Approval Date:

1303 State and Federal Compliance Reports: Waste Water Treatment Plant

Also Called:
Function: Reports filed with state and federal agencies monitoring the city's compliance with

government regulations

Content: May include applications, recycling permits and reports, water treatment permits and

reports, and other permits for solid waste and sewage treatment lagoon processes

Retention: 5 years
Disposition: Destroy

Note:

Approval Date:

Also Called:

1304 Sewage Treatment Monitoring Reports

Function: Records used to monitor and report on the operations of the city sewage treatment plant

and sewage treatment lagoons

Content: May include lab reports and amounts of waste processed by the system

Retention: 5 years
Disposition: Destroy

Note:

Approval Date:

Also Called:

1306 Street Paving Log Book

Function: Provides a record of street maintenance

Content: May include a summary of streets paved listing street name, material used, cost, date,

and other related information

Retention: 10 years after last entry in book

Disposition: Destroy

Note:

1317 City-owned Buildings Plan

Also Called: Function:

Content: May include plans and specifications for city buildings

Retention: Life of structure; evaluate for historical value

Disposition: Destroy

Note:

Approval Date:

1317a Structure Inventory and Appraisal Sheet

Also Called: Function:

Content:

Retention: Permanent and update for the life of the inventory

Disposition: Destroy

Note:

Approval Date:

1318 Equipment, Public Works Check In/Out Cards

Also Called:
Function: Record of municipal public works equipment temporarily removed on a regular or

periodic basis from its authorized place of storage

Content: Possibly may include type of vehicle or equipment, name of person checking it out, time

of check-out, time returned, and any problems experienced

Retention: 2 years Disposition: Destroy

Note:

Approval Date:

1322 Street Design Improvement Files

Also Called:
Function: Reports used to assess cause of accidents on city streets and make street design

improvements in order to reduce accidents

Content: May include collision diagrams showing time, direction of approach, weather, type of

accident, pavement, accident severity, time of year, type of vehicle, and related documents

Retention: 5 years
Disposition: Destroy

Note:

Approval Date:

1323 Backflow Prevention Testing Records

Also Called:

Function: Documents tests to check for water contamination

Content:

Retention: 5 years
Disposition: Destroy

Note: Department of Natural Resources mandated test, see 10 CSR 60-11

Approval Date: August 28, 2012

Special Facilities Records

Event Files 1401

Also Called: Function: Records relating to the leasing of municipal facilities to various groups

May include scheduling, license checklist, equipment rental requests and invoices, Content:

contracts, licenses, seating charts, floor plans, work orders, and sales and service reports

5 years after expiration or cancellation of lease

Disposition: Destroy

Note:

Retention:

Approval Date:

1402 **Special Facilities Regulations**

5 years

Destroy

Also Called:

City, state, and federal regulations unique to the operation of a municipally owned facility Function:

Content: Retention: Disposition:

Note:

Approval Date:

1403/1404 Facility Event and Recreation Program Scheduling and Reservation File

Also Called: Function:

Content: Retention: 5 years Disposition: Destroy

Note:

Approval Date:

1405 Schedule of Admission and Use Fees and Charges

Also Called: Function: Content:

Retention:

5 years after superseded Destroy

Disposition:

Note:

1406

Approval Date:

Also Called:

Function: Record of total projected amount of rental fee paid by lessee of city-owned facilities,

Trust Fund and Event Control Card

which is held in a trust fund until completion of contract; account is closed after funds are

transferred to the city

Content:

Retention: 5 years Disposition: Destroy

Note:

Transportation and Transit Records

1501 Bus Route Planning File

Note: Removed to Multimodal and Transit Schedule—MMT 200

Approval Date: August 21, 2019

1502 Charter Bus Service Files

Note: Removed to Multimodal and Transit Schedule—MMT 201

Approval Date: August 21, 2019

1503 Daily Bus Passenger Reports / Drivers Daily Checklist

Note: Removed to Multimodal and Transit Schedule—MMT 202

Approval Date: August 21, 2019

1504 Transit Operation Receipts Summary Reports

Note: Removed to Multimodal and Transit Schedule—MMT 203

Approval Date: August 21, 2019

1506 Traffic Signals Intersection File

Also Called:
Function: Records related to traffic planning

Content: May include studies, drawings of traffic controllers and intersections, signal permits,

timing progressions, and turning count totals used for traffic control

Retention: 10 years Disposition: Destroy

Note:

Approval Date:

Airport Records

1507 Airports and Aviation Regulations

Note: Removed to Multimodal and Transit Schedule—MMT 100

Approval Date: August 21, 2019

1508 Airports: Crash Rescue Operations

Note: Removed to Multimodal and Transit Schedule—MMT 101

Approval Date: August 21, 2019

1509 Airport Safety Officer's Reports and Logs

Note: Removed to Multimodal and Transit Schedule—MMT 102

Approval Date: August 21, 2019

1510 Security Gate Entrance Application and Card File

Note: Removed to Multimodal and Transit Schedule—MMT 103

Approval Date: August 21, 2019

1511 Screening Activities Report

Note: Removed to Multimodal and Transit Schedule—MMT 104

Approval Date: August 21, 2019

1512 Airport Condition and Inspection Reports

Note: Removed to Multimodal and Transit Schedule—MMT105

Approval Date: August 21, 2019

1513 Airport Pavement Maintenance Survey Records

Removed to Multimodal and Transit Schedule—MMT 106

Approval Date: August 24, 2010; Moved August 21, 2019

Utilities (Gas, Electric, Water, Steam)

1601 Cathode Protection File

Also Called:

Note:

Function: Records created and used in maintaining metal pipes

Content: May include installation documents of anodes, test stations, rectifiers, and ground beds

Retention: Until superseded or obsolete

Disposition: Destroy

Note: Cathodic protection is a technique used to control surface corrosion for water and gas

pipelines

Approval Date: Revised, August 20, 2013

1602 Customer Account File

Also Called: Account Card File

Function: Records showing a billing and payment history of all customers using city utilities

Content: May include customer name and address, date account was opened, consumption,

billing and payment history, date of account closure

Retention: 5 years after last entry Disposition: Destroy securely

Note:

Approval Date: Revised August 24, 2022

1603 Customer Service Deposit File

Also Called:
Function:

A record of whether a resident paid a utility deposit, and amount

Content: May include customer name, address, account number, amount of deposit for

connection, bills owed, cash stubs, book listings, and refunds of deposits for service

terminations

Retention: 2 years after deposit is refunded

Disposition: Destroy

Note:

Approval Date:

1604 Electricity Purchase Reports

Also Called: Transportation Charge; Wheeling Charge

Function: Records showing daily and monthly electricity purchased to meet demand

May include invoice for transportation charge, capacity charge and total energy charge

in megawatts, kilowatts and dollars

Retention: 5 years Disposition: Destroy

Note:

Content:

Approval Date: Revised, August 20, 2013

1605 Filter Plant Files

Function: Monitoring data relating to the operation of the city filtration plant

Content: May include daily logs, reports, lab and test reports, test results, quality control

procedures, etc.

Retention: 3 years
Disposition: Destroy

Note:

Approval Date:

Also Called:

1606

Federal Reports: Gas System

Also Called:

Function: Reports filed with the U. S. Department of Transportation documenting the number of

miles of gas mains in the system

Content: May include description of pipe system, extent of cathodically protected system, number

of leaks in mains, and services performed during the year

Retention: 20 years Disposition: Destroy

Note:

Approval Date:

1607 Gas Leak File

Also Called: Leak Repair Records; Line Break Records; Leakage Survey; Line Patrol Record; Gas

Line Inspection Record

Function: Records documenting any leaks and repairs made to the gas line; report required for

federal reporting compliance purposes

Content:

Retention: For records relating to leaks, inspections and repairs of transmission lines, Service Life of

Line; For feeder lines, mains, service lines and other records, 6 Years

Disposition: Destroy

Note: See 4 CSR 240-40 (13)(F)
Approval Date: Revised, August 20, 2013

1608 Gas Purchase Reports

Function: Records documenting the quantity of natural gas purchased from distributors and used in

the municipal gas system

Content:
Retention: 5 years
Disposition: Destroy

Note:

Approval Date:

Also Called:

1609 Gas Tap Records

Also Called: Gas Work Orders

Function: Work orders used to initiate gas service with new customers

Content:

Retention: Until superseded

Disposition: Destroy

Note:

Approval Date:

Also Called:

1610 Meter Books

Function: Record documenting consumption statistics gathered when meters are read

Content: May include make of meter, size, number, street, customer's name and address, readings,

etc.

Retention: 5 years Disposition: Destroy

Note:

Approval Date:

Also Called:

1611 Meter Reading Summary Reports

Function: Monthly summary of meter readings by customer account number for utility consumption

Content: May include old and new readings and actual consumption

Retention: 5 years
Disposition: Destroy

Note:

1612 Odorant Usage Reports

Also Called:

Function: A compliance report filed with the Department of Transportation tracking odorants added

to natural gas

Content: May include odor level sampling reports, rectifier readings, and inspections which record

levels of odorant

Retention: 5 years
Disposition: Destroy

Note:

Approval Date:

1614 Service Interruption Records

Also Called: Service Interruption Log Book

Function: Records documenting the interruption of utility services

Content: May include date of incident, name of person reporting, location, actions taken, and result

Retention: 3 Years
Disposition: Destroy

Note:

Approval Date: Revised, August 20, 2013

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